

D. STUDENT'S (AND SPOUSES'S) ANTICIPATED GROSS INCOME:

Indicate the amount of **BEFORE-TAX (gross)** income you (and your spouse/prospective spouse, if applicable) expect to earn in each of the following categories for the periods indicated. Do not include federal work-study earnings. "OTHER income/benefits" include interest/dividend income, untaxed income and benefits, and income from sources *other* than work.

Enter "0" (zero) if <i>NONE</i> ; "N/A" for question 2 if not married – do not leave any item blank.	Each month of the 3 months prior to enrollment period	Each month during enrollment period
1. Student's gross monthly earnings from work	\$ _____ / month	\$ _____ / month
2. Spouse's/ prospective spouse's gross monthly earnings from work	\$ _____ / month	\$ _____ / month
3. Student's/ (prospective) spouse's OTHER monthly income/benefits <i>Explain in Section J</i>	\$ _____ / month	\$ _____ /month

E. STUDENT'S (AND SPOUSE'S) ASSETS:

Indicate the amount of funds you (and your spouse/prospective, if applicable) expect to have available **at the start of your 2011-12 enrollment period at NU** in each of the following categories. **ENTER "0" (zero) if NONE; do not leave any item blank. Do not include financial aid funds received in prior years or income listed in Section D.**

1. Cash, savings, checking accounts:
Account balance(s) at **START OF ENROLLMENT PERIOD** \$ _____
2. Stocks, bonds, certificates of deposit (CDs) – *itemize in Section J*:
Total value at **START OF ENROLLMENT PERIOD** \$ _____
3. Trust funds (e.g., contingent, vested) – *explain in Section J*:
Total value at **START OF ENROLLMENT PERIOD** \$ _____
4. IRAs/Roth IRAs/Pension Plans/Retirement Plans – 401(K), 403(B) – *explain in Section J*:
Total value at **START OF ENROLLMENT PERIOD** \$ _____
5. Other assets/investments – *explain in Section J*:
Total value at **START OF ENROLLMENT PERIOD** \$ _____

F. OUTSIDE SCHOLARSHIP ASSISTANCE:

Will you apply for or receive scholarship assistance from any of the following sources in 2011-12? (*check ALL that apply – if you are applying for a scholarship please notify our office as soon as you know whether or not you will be receiving the scholarship.*)

- NO, I am not applying or any non-University scholarship assistance in 2011-12.
- YES, a Military Scholarship-specify branch: _____
- YES, a National Health Service Corps (NHSC) Scholarship
- YES, a Illinois Department of Public Health (IDPH) Scholarship
- YES, from other non-University sources, e.g., private foundations, organizations-specify:

<u>Name of Organization/Foundation (source)</u>	<u>Anticipated Amount</u>
_____	\$ _____
_____	\$ _____

Are/Were you a member of the Phi Kappa Sigma fraternity? Yes No

G. OTHER ANTICIPATED FINANCIAL RESOURCES:

Indicate how much you will receive during the 2011-12 academic year:

1. Financial assistance from parents (*Discuss with your parents first*): \$ _____
2. Financial assistance from relatives other than parents: \$ _____
3. Reimbursement from current/prospective EMPLOYER: Taxed \$ _____ Untaxed \$ _____

Amount PER TERM: \$ _____ \$ _____ \$ _____ \$ _____
Summer '11 Fall '11 Winter '12 Spring '12

4. Other resources available - *explain in Section J*. \$ _____

H. Parent Housing Information:

For all students applying for institutional grant assistance:

Do you parents rent or own their primary residence? (Circle One) RENT OWN
 What is their monthly rent/mortgage? (Discuss with your parents first) \$ _____

I. TAX RETURN STATUS

All tax-filing students and spouses/prospective spouses of students must submit a signed copy of their 2010 Federal Income Tax Return including all schedules and W-2 forms. Please select the item below that best corresponds to you and follows the appropriate instructions. **If you requested a filing extension, submit a copy of IRS Form 4868 (“Application for Automatic Extension of Time...”)**

- A 2010 Federal Income Tax return has been or will be filed.**
[Please enclose a signed copy of the tax return, schedules, and W-2 forms with this form if you checked this item. If your tax return is not yet completed, please mark here submit your 2009 tax return now and submit your 2010 return as soon as it is complete]
- A 2010 Federal Income Tax return was not filed. However, a 2010 foreign income tax form was filed.**
[Please enclose a signed copy of the foreign tax return with this form if you checked this item. Also, provide a signed statement that shows the conversion rate of foreign currency to U.S. dollars on the date you submit your tax return to the office of Financial Aid]
- A 2010 Federal Income Tax return was not filed, and I am/we are not required to file a 2010 Federal Income Tax return.**
[Please report the income under each of the following categories if you checked this item. Also, enclose any W-2 forms you may have received. Report zero '0' for a given category if appropriate—DO NOT LEAVE ANY ITEM BLANK.]

A.	Student's income from work during 2010	\$ _____
B.	Spouse's income from work during 2010	\$ _____
C.	Unemployment compensation received during 2010	\$ _____
D.	Social Security benefits received during 2010	\$ _____
E.	Child support received during 2010	\$ _____
F.	Welfare benefits (e.g., AFDC/ADC) received during 2010	\$ _____
G.	Pension benefits received during 2010	\$ _____
H.	Interest income earned during 2010	\$ _____
I.	Dividends earned during 2010	\$ _____
J.	Capital gains earned during 2010	\$ _____
K.	Foreign income excluded during 2010	\$ _____
L.	Other income (specify type): _____	\$ _____
TOTAL:		\$ _____

J. EXPLANATIONS AND SPECIAL CIRCUMSTANCES:

Use the space below to provide required explanation(s) and/or to explain any special circumstances that you think will influence your eligibility for financial assistance. *(Attach an additional sheet of paper if more space is needed.)*

K. STUDENTS' RELEASE OF INFORMATION:

Do you authorize staff in the Office of Financial Aid, Chicago to disclose financial aid information to your parents, spouse and/or other relatives?

Yes No

Name of relative(s): _____ Relationship: _____

CERTIFICATION STATEMENT: I/We certify that the information I/we have provided is true and correct to the best of my/our knowledge. I/We understand that information from this form may be released to scholarship programs and/or designated donors of funds for which I am selected. I/We agree to notify the Office of Financial Aid, Chicago of any changes to the above information. Furthermore, I/we understand that if I/we have knowingly provided false and/or misleading information, I/we may be reported to the governing agency, which may result in possible penalties and/or revocation of funds for misrepresentation.

Student signature

Date signed

Spouse/prospective spouse signature

Date signed

Return completed application to: Northwestern University, Office of Financial Aid, Chicago, 710 N. Lake Shore Drive, Room 629, Chicago, Illinois 60611-3078.